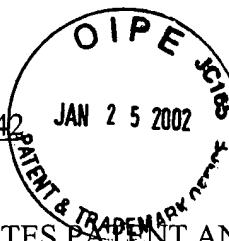


Attorney's Docket No. 38190/239642



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Thomas L. Weaver et al.
Appl. No.: 09/975,168
Filed: October 11, 2001
For: CLOSED-LOOP OPTICAL NETWORK SYSTEM
AND AN ASSOCIATED TRANSCIEVER AND
METHOD FOR TRANSMITTING
A PLURALITY OF OPTICAL SIGNALS

Confirmation No.: 9101

Group Art No.: 2633

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

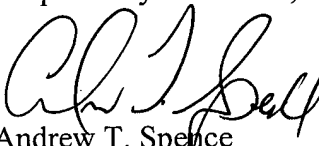
January 7, 2002

**INFORMATION DISCLOSURE STATEMENT
CITATION UNDER 37 C.F.R. § 1.97**

Sir:

Attached is a list of documents on form PTO-1449 together with a copy of each identified document. It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By submitting the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead submitting the listed documents for the sake of full disclosure.

Respectfully submitted,


Andrew T. Spence
Registration No. 45,699

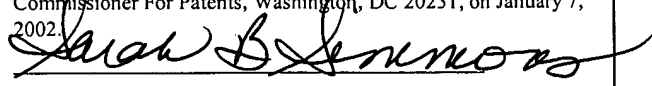
Customer No. 00826
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Sarah B. Simmons

| | | | |
|--|---|--------------------------|-------------------------|
| Substitute for form 1449A PTO | | Complete if Known | |
| <p style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p style="text-align: center;">(Use as many sheets as necessary)</p> | | Application Number | 09/975,168 |
| | | Filing Date | October 11, 2001 |
| | | First Named Inventor | Thomas L. Weaver et al. |
| | | Group Art Unit | 2633 |
| | | Examiner Name | To be assigned |
| Sheet | 2 | of | 2 |
| | | Attorney Docket Number | 38190/239642 |

NON PATENT LITERATURE DOCUMENTS

| Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s) , volume-issue number(s), publisher, city and/or country where published. | T |
|----------------------|-------------|---|---|
| | 5 | KRISHNAN SUNDARESAN; <i>IP over DWDM: Principles and Practices</i> ; Pages 1 – 7 < http://www.acsu.buffalo.edu/~ks48/ipoverdwdm.pdf > | |
| | 6 | <i>Lensed Wavelength Division Multiplexers Singlemode and Multimode</i> ; AMP Brand; Pages 1 – 3; available at < http://catalog.tycoelectronics.com/TE/bin/TE.Menu?M=Main > (visited 10/03/2001). | |
| | 7 | <i>Wavelength Division Mux/Demux Model 9980</i> ; Pages 1-2 < http://www.optelecom.com/Products/productaccessories/9980.pdf >. | |
| | 8 | WAVESPLITTER™ TECHNOLOGIES, INC.; <i>WaveSelector™ Single Channel Add/Drop Filter</i> ; (revision Mar. 2001) available at < http://www.wavesplitter.com >. | |
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| Examiner Signature | | Date Considered | |
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.